PART B - FEE(S)-TRANSMITTAL Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or Fax (703) 746-4000 INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 00140 7590 07/14/2004 LADAS & PARRY Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below. 26 WEST 61ST STREET NEW YORK, NY 10023 8 500 10/13/2004 WABDELR3 00000078 10679961 RICHARDS 01 FC:1501 1370.00 OP (Signature) 02 FC:1504 300.00 OP OCTOBER (Date) FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. APPLICATION NO. U 014840-0 10/679.961 10/06/2003 Robert Seemaver 3576 TITLE OF INVENTION: PROCESS FOR THE PREPARATION OF (S,S)-CIS-2-BENZHYDRYL-3-BENZYLAMINOQUINUCLIDINE SMALL ENTITY ISSUE FEE **PUBLICATION FEE** TOTAL FEE(S) DUE DATE DUE APPLN. TYPE 10/14/2004 nonprovisional NO \$1330 \$300 \$1630 EXAMINER ART UNIT **CLASS-SUBCLASS** MORRIS, PATRICIA L 1625 546-133000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list LADAS & PARRYLLP (I) the names of up to 3 registered patent attorneys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 'Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Reel/Frame: ecordation Date: New York, New York U.S.A. Pfizer Inc. Greenville, North Carolina, U.S.A. & DSM Pharmaceuticals, Inc. Please check the appropriate assignce category or categories (will not be printed on the patent); ☐ individual X☐ corporation or other private group entity government 4b. Payment of Fee(s): \$ 1,6/0.00 4a. The following fee(s) are enclosed: A check in the amount of the fee(s) is enclosed. **Issue Fee** Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form). ☐ Advance Order - # of Copies 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. □ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2) The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. (Authorized Signature)

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-IFW

Peactitioner's Docket No. <u>U 014840-0</u>

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: ROBERT SEEMAYER, et al

Serial No.: 10/679,961

Group No.: 1625

Filed: OCTOBER 6, 2003

Examiner: PATRICIA L. MORRIS

Confirmation No.

3576

For:

PROCESS FOR THE PR

PREPARATION OF

(S,S)-CIS-2-BENZHYDRYL-3-

BENZYLAMINOQUINUCLIDINE

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TRANSMITTAL OF PAYMENT OF ISSUE FEE (37 C.F.R. 1.311)

NOTE: Submission of a Transmittal of Payment of Issue Fee after issuance of the Notice of Allowance in an application does not result in a reduction in patent term adjustment under 37 C.F.R. § 1.704(c)(10). See Notice of May 29, 2001, 1247 OG 111-112, June 6, 2001.

- 1. Applicant hereby pays the issue fee for the attached Issue Fee Transmittal PTOL-85.
- NOTE: 37 C.F.R. § 1.27(g): "(1) New determination of entitlement to small entity status is needed when issue and maintenance fees are due. Once status as a small entity has been established in an application or patent, fees as a small entity may thereafter be paid in that application or patent without regard to a change in status until the issue fee is due or any maintenance fee is due.
 - (2) Notification of loss of entitlement to small entity status is required when issue and maintenance fees are due. Notification of a loss of entitlement to small entity status must be filed in the application or patent prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity as defined in paragraph (a) of this section is no longer appropriate. The notification that small entity status is no longer appropriate must be signed by a party identified in § 1.33(b). Payment of a fee in other than the small entity amount is not sufficient notification that small entity status is no longer appropriate."

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10*

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I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. 1.8(a)

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JOHN KICHARDS

(type or/print name of person certifying)

• Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

2.	Applicant								
	A. Asserted small entity status in this application by								
	payment of the basic filing or national fee as a small entity (37 C.F.R. § 1.27(c)(3)) or								
			submission of a Written Assertion or Statement of Small Entity Status (37						
		C.F.R. § 1.27(c)(1))							
	It is confirmed that small entity status for this application has been checked, is still in effect and is being asserted.								
	A WRITTEN ASSERTION OR STATEMENT OF SMALL ENTITY STATUS signed by an appropriate party as required by 37 C.F.R. § 1.27 is attached.								
WARNING: "Payment of a fee in other than the small entity amount is not sufficient notification that small entity status is no longer appropriate." 37 C.F.R. § 1.27(g)(2).									
(complete the following, as applicable)									
	B.								
	A "NOTIFICATION OF LOSS OF STATUS AS SMALL ENTITY" signed by an appropriate party is attached.								
	Applicant has not asserted small entity status.								
3.	3. Fee (37 C.F.R. 1.18(a) and (b)):								
	Application status is:					Regular	<u>Design</u>		
	small business entity—fee					685.00	□ \$240.00		
	otl	her than	a small e	entity—fee	⊠ 9	51,370.00	□ \$480.00		
		blication		•	⊠ §	300.00			
4.		ent of fee							
	☑ Enclosed please find check for \$ 1,670.00								
	Charge Account 12-0425 for any fee deficiency.								
	Charge Account the sum of \$ A duplicate of this request is attached.								
	A duplicate of this request is attached.								
SIGNATURE OF PRACTITIONER									
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101.1	o (212)	,, 00 15 .				P.O. Address			
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